

The Hong Kong Society of Financial Analysts (HKSFA)

Associate Member must have a bachelor's degree from an accredited academic institution or equivalent education and also must have one year's acceptable professional work experience.

The work experience required must be related to financial investment process. In general, the individual is expected to contribute to the financial analysis of company, securities analysis, portfolio analysis, investment management, industry as well as economic analysis. In addition, those who are directly related to the supervision as well as the teaching of the above activities are also qualified for the application.

To continue being an Associate Member, he/she shall adhere to all applicable rules and regulations, including the Society's Articles of Association, the Society Code & Standards, and other rules relating to professional conduct and pay annual dues.

An applicant has to be recommended by an HKSFA Regular Member or his/her current employer. An application will be reviewed by two of the Membership Committee Members. Termination of membership will be based on the conditions as described in Article 25 to 28 of the Society's Articles of Association which can be downloaded from the HKSFA website at www.hksfa.org.

An Associate Member shall not be entitled to receive notice of or to attend or vote at general meetings of the Society.

Membership Fees

Our fiscal year runs from 1 July to 30 June. Membership fees paid are non-refundable and non-transferable.

Joining Fee : HK\$500

Annual Dues : HK\$780 per year

Billing for the first year joining the Society :

- HK\$780 if the join date is between 1 July and 31 March
- Annual Dues is waived if the join date is between 1 April and 30 June, but the successful applicant has to pay for the Annual Dues of the upcoming fiscal year

For reinstatement :

- HK\$780 to reinstate at any date of the fiscal year

Application Procedures

To apply for Associate Member, the applicant has to complete and submit the following to HKSFA :

- An Application Form
- A Sponsor Form completed by an HKSFA Regular Member or his/her current employer
- An Ethics Declaration Form

Important Note :

After you have become an Associate Member and at a later date would like to apply for Regular or Affiliate membership, you must submit your Regular or Affiliate membership application directly to CFA Institute via the website www.cfainstitute.org and indicate that you would like to apply for the Hong Kong Society membership. HKSFA will not transfer your Associate membership to Regular/Affiliate membership.

Associate Member Application Form

Please complete all items and tick the appropriate box.

Associate Member No. : _____

* Delete whichever is inapplicable.

(to be completed by HKSFA)

Name : (* Mr / Mrs / Miss / Ms) _____

First Name

Middle Name

Last Name (Surname or Family Name)

* HKID/Passport No. : _____ Nationality : _____ Date of Birth : _____

Have you been a CFA Candidate ? No Yes, Candidate No. _____

Have you been a member of CFA Institute ? No Yes, Member No. _____

Have you been a member of a CFA Institute Society?

No Yes, Name of Society _____ Join Date _____

Sponsor – an application must be recommended by one of the following :

Name of HKSFA member _____ Membership No. _____

Name of your Current Employer _____

Name of Contact Person _____ Email : _____ Tel : _____

Please attach a **Sponsor Form** completed by one of the above sponsors and an **Ethics Declaration Form**.

Payment of Joining Fee and Annual Dues :

A cheque is attached with this form (the cheque should be made payable to “HKSFA”)

By credit card, please send me a payment link

Applicant’s Signature : _____ Date : _____

Important Note :

After you have become an Associate Member and would like to apply for Regular or Affiliate membership at a later date, you must submit your Regular or Affiliate membership application directly to CFA Institute via the website www.cfainstitute.org and indicate that you would like to apply for the Hong Kong Society membership. HKSFA will not transfer your Associate membership to Regular/Affiliate membership.

I have read the above important note.

To be completed by HKSFA

1. Reviewed By : _____ Date : _____

Approved : Yes No, Reason _____

2. Reviewed By : _____ Date : _____

Approved : Yes No, Reason _____

Associate Member No. : _____
(to be completed by HKSFA)

Name : _____
First Name Middle Name Last Name (Surname or Family Name)

CONTACT INFORMATION

Preferred Mailing Address : Company Home
Preferred Email Address : Company Home

Company

Company Name _____
Company Address _____
Company Telephone _____ Company Fax _____
Company E-mail Address _____

Home

Home Address _____
Home Telephone _____ Mobile Phone _____
Home E-mail Address _____ Home Fax _____

WORK HISTORY

Position at Current Employer _____ identified above :

Full-time Job Part-time Job Temporary Job from _____ to _____

Main activity of business : _____

Date of Employment : From (Month/Year) _____

Duties _____

Prior Employer #1 : _____

Position : _____

Main activity of business : _____

Date of Employment : From (Month/Year) _____ To (Month/Year) _____

Duties _____

(Please use additional paper for more work history)

Note : For Personal Information Collection Statement, please visit our website at
http://www.hksfa.org/document/Privacy%20Policy_d050302.pdf.

Please complete, sign and return this application form together with a Sponsor Form, an Ethics Declaration Form and a cheque for the Joining Fee and Annual Dues (or request a payment link) to The Hong Kong Society of Financial Analysts, 14/F, BOC Group Life Assurance Tower, 136 Des Voeux Road Central, Hong Kong. The cheque should be made payable to "HKSFA". For enquiries, please send it to info@hksfa.org or call us on 2521-2543.